

# K-Nearest Neighbours

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# This section we will learn

- K-Nearest Neighbours
- Lazy and eager learning

# Instance-based learning

- One way of solving tasks of approximating discrete or real valued target functions
- Have training examples:  $(x_n, f(x_n))$ ,  $n=1..N$ .
- Key idea:
  - just store the training examples
  - when a test example is given then find the closest matches

## ■ 1-Nearest neighbour:

Given a query instance  $x_q$ ,

- first locate the nearest training example  $x_n$
- then  $f(x_q) := f(x_n)$

## ■ K-Nearest neighbour:

Given a query instance  $x_q$ ,

- first locate the  $k$  nearest training examples
- if discrete values target function then take vote among its  $k$  nearest neighbours  
else if real valued target function then take the mean of the  $f$  values of the  $k$  nearest neighbours

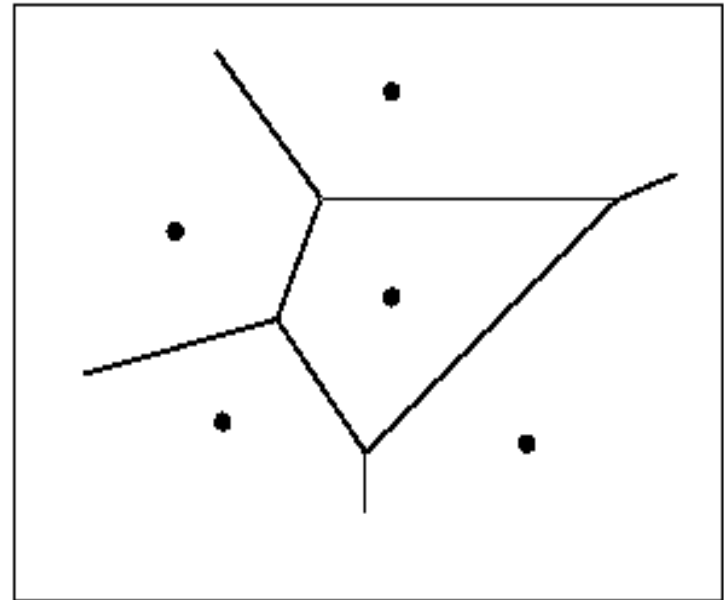
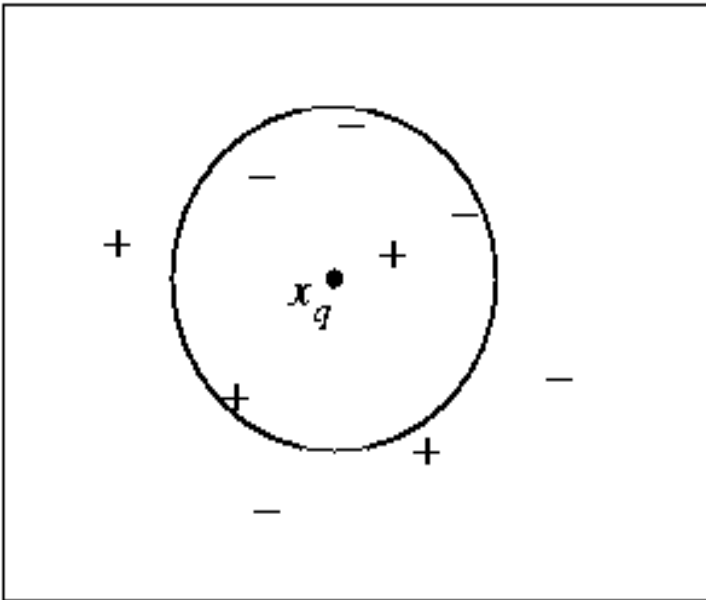
$$f(x_q) := \frac{\sum_i f(x_i)}{k}$$

# The distance between examples

- We need a measure of distance in order to know who are the neighbours
- Assume that we have  $T$  attributes for the learning problem. Then one example point  $\mathbf{x}$  has elements  $x_t \in \mathcal{R}, t=1, \dots, T$ .
- The distance between two points  $\mathbf{x}_i, \mathbf{x}_j$  is often defined as the Euclidean distance:

$$d(\mathbf{x}_i, \mathbf{x}_j) = \sqrt{\sum_{t=1}^T [x_{ti} - x_{tj}]^2}$$

# Voronoi Diagram



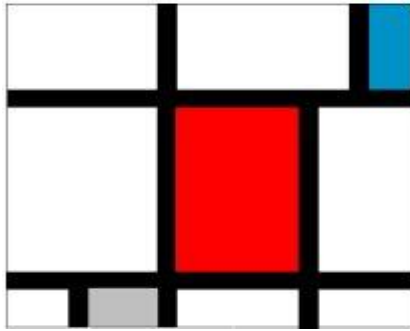
# Characteristics of Instance-based-Learning

- An instance-based learner is a *lazy-learner* and does all the work when the test example is presented. This is opposed to so-called *eager-learners*, which build a parameterised compact model of the target.
- It produces *local* approximation to the target function (*different* with each test instance)

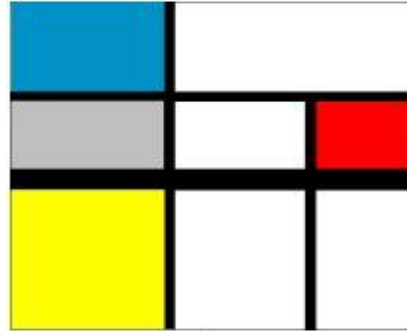
# When to consider Nearest Neighbour algorithms?

- Instances map to points in  $\mathbb{R}^n$
- Not more than say 20 attributes per instance
- Lots of training data
- Advantages:
  - Training is very fast
  - Can learn complex target functions
  - Don't lose information
- Disadvantages:
  - ? (will see them shortly...)

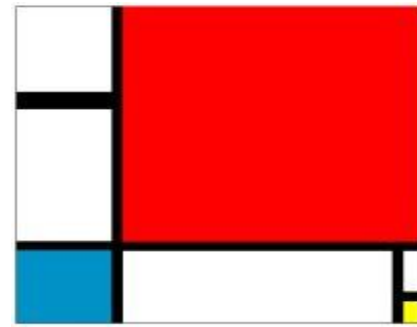




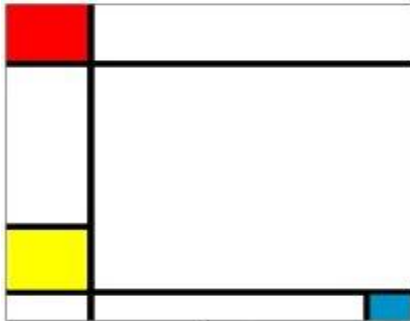
one



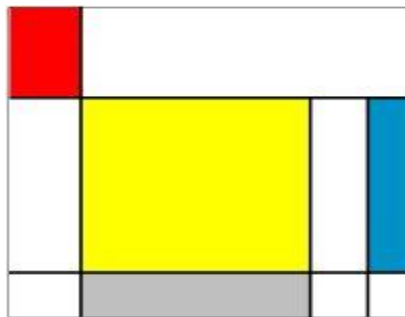
two



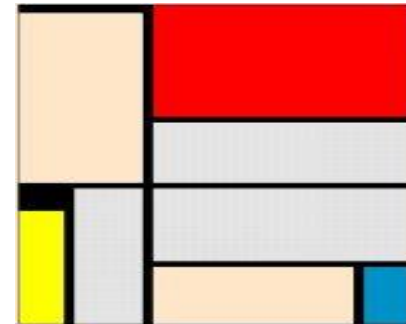
three



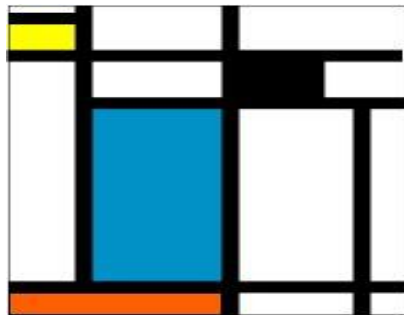
four



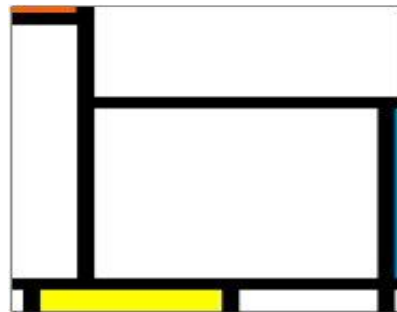
five



six



seven



Eight ?

# Training data

Number	Lines	Line types	Rectangles	Colours	Mondrian?
1	6	1	10	4	No
2	4	2	8	5	No
3	5	2	7	4	Yes
4	5	1	8	4	Yes
5	5	1	10	5	No
6	6	1	8	6	Yes
7	7	1	14	5	No

## Test instance

Number	Lines	Line types	Rectangles	Colours	Mondrian?
8	7	2	9	4	

# Keep data in normalised form

One way to normalise the data  $a_t(x)$  to  $a'_t(x)$  is

$$x'_t = \frac{x_t - \bar{x}_t}{\sigma_t}$$

$\bar{x}_t = \text{mean of } t^{\text{th}} \text{ attributes}$

$\sigma_t = \text{standard deviation of } t^{\text{th}} \text{ attribute}$

To ensure equal effects from each of the feature

# Normalised training data

Number	Lines	Line types	Rectangles	Colours	Mondrian?
1	0.632	-0.632	0.327	-1.021	No
2	-1.581	1.581	-0.588	0.408	No
3	-0.474	1.581	-1.046	-1.021	Yes
4	-0.474	-0.632	-0.588	-1.021	Yes
5	-0.474	-0.632	0.327	0.408	No
6	0.632	-0.632	-0.588	1.837	Yes
7	1.739	-0.632	2.157	0.408	No

## Test instance

Number	Lines	Line types	Rectangles	Colours	Mondrian?
8	1.739	1.581	-0.131	-1.021	

# Distances of test instance from training data

Example	Distance of test from example	Mondrian?
1	2.517	No
2	3.644	No
3	2.395	Yes
4	3.164	Yes
5	3.472	No
6	3.808	Yes
7	3.490	No

## Classification

1-NN Yes

3-NN Yes

5-NN No

7-NN No

# What if the target function is real valued?

- The k-nearest neighbour algorithm would just calculate the mean of the k nearest neighbours

# Variant of kNN: Distance-Weighted kNN

- We might want to weight nearer neighbours more heavily

$$f(x_q) := \frac{\sum w_i f(x_i)}{\sum w_i} \text{ where } w_i = \frac{1}{d(x_q, x_i)^2}$$

- Then it makes sense to use *all training examples* instead of just k (Stepard's method)

# Difficulties with k-nearest neighbour algorithms

- Have to calculate the distance of the test case from *all* training cases
- There may be irrelevant attributes amongst the attributes – *curse of dimensionality*



# Lazy and Eager Learning

- Lazy: wait for query before generalizing
  - k-Nearest Neighbour, Case based reasoning
- Eager: generalize before seeing query
  - Radial Basis Function Networks, ID3, ...
- Does it matter?
  - Eager learner must create global approximation
  - Lazy learner can create many local approximations

# Summary

- K-Nearest Neighbours
- Lazy and eager learning