

Algorithm Design and Analysis

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บทที่ 5

อัลกอริทึมแบ่งแยกและเอาชนะ
(Divide and Conquer algorithms Part3)

Selection problem

Find the i -th order statistic in set of n (distinct) elements

- **input:** A set $A = \{a_1, a_2, \dots, a_n\}$ and i such that $1 \leq i \leq n$
- **output:** An element $x \in A$ that is larger than exactly $i-1$ other elements of A
- **Minimum** is the first element (when $i = 1$)
- **Maximum** is the last element (when $i = N$)
- **Median** is a special case for
 - If n is odd, then $((n+1)/2)^{\text{th}}$ element.
 - If n is even then,
 - $\left(\frac{n+1}{2}\right)^{\text{th}}$ element, lower median
 - $\left(\frac{n+1}{2}\right)^{\text{th}}$ element, upper median

Selection Problem : Brute-force

Solving by Sorting e.g. Merge sort

- **Idea :** Just sort the numbers in ascending order and return the i^{th} element of the array
- **Step 1:** Sort the elements in ascending order with any algorithm of complexity $O(n \log n)$.
- **Step 2:** Return the i^{th} element of the sorted array
- Any selection can be done in $O(1)$
- So total time : $O(n \log n)$

- Selection problem can be solved in $O(n \log n)$ by sorting
- Can we do it better?
- How many comparisons are needed to get the max and min of n elements?
- Can we find the k^{th} smallest element faster?
 - There is a deterministic $O(n)$ algorithm, but it is very complicated and not very practical.
 - However, there is a simple randomized algorithm, whose expected running time is $O(n)$.

Selection Problem: Probabilistic Selection

- ❑ Solving by Probabilistic Selection : QuickSelect
- ❑ A probabilistic algorithm that uses random number and provide a measure for the quality of the approximated result.
- ❑ Sometimes better to chose randomly than to spend a lot of time to compute optimal choice.
- ❑ A practical randomized algorithm with $O(n)$ expected running time
- ❑ Returns (a close approximation of) the k^{th} smallest element

Selection Problem: Probabilistic Selection

Idea of QuickSelect

- ❑ A **divide-and-conquer** algorithm to select i^{th} smallest element of $S=\{a_1, a_2, \dots, a_n\}$
- ❑ Similar to quicksort, partition the input array recursively, but
 - ❑ **QuickSort**: works on both sides of the partition,
 - ❑ **QuickSelect**: works on one side
- ❑ Called **prune-and-search**, prune one side, just search the other side).

Selection Problem: Probabilistic Selection

Quick-select is a randomized selection algorithm based on the prune-and-search paradigm:

- ❑ **Prune**: pick a random element x (called pivot) and partition S into
 - ❑ L - elements less than x
 - ❑ E - elements equal x
 - ❑ G -elements greater than x
- ❑ **Search**: depending on i , either answer is in E , or we need to recur on either L or G



Selection Problem: Probabilistic Selection

Idea: prune-and-search technique

1. Partition S into **three** subsets
2. $S_1=\{a_j|a_j<x\}$, $S_2=\{a_j | a_j=x\}$, $S_3=\{a_j|a_j>x\}$, $x \in S$
3. If $|S_1|>k$, **search** k^{th} smallest element in S_1 **recursively**, (**prune S_2 and S_3 away**)
4. Else If $|S_1|+|S_2|>k$, then return x (the k^{th} smallest element)
5. Else **search** $(k-(|S_1|+|S_2|))^{\text{th}}$ in S_3 **recursively**, (**prune S_1 and S_2 away**)

How to select x such that S_1 and S_3 are nearly equal?

Selection Problem: Probabilistic Selection

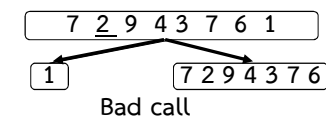
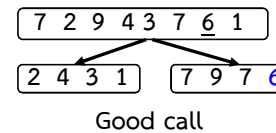
QuickSelect(A,p,r,k)

1. if p=r then return A[p]
2. /*find $A[p,q-1] \leq A[q] \leq A[q+1,r]$ */
3. $q = \text{RandomizedPartition}(A,p,r);$ The remaining sequence
in each recursive call
4. $m = q - p + 1$
5. if $k = m$ then return A[q] $k=5, A=\{7\ 4\ 9\ 3\ 2\ 6\ 5\ 1\ 8\}$
6. else if $k < m$ then $k=2, A=\{7\ 4\ 9\ 6\ 5\ 8\}$
7. return QuickSelect(A,p,q-1,k) $k=2, A=\{7\ 4\ 6\ 5\}$
8. else
9. return QuickSelect(A,q+1,r,k-m) $k=1, A=\{7\ 6\ 5\}$

Selection Problem: Probabilistic Selection

Expected Running Time

- A recursive call of quick-select on a sequence of size s
- **Good call:** the sizes of L and G are each less than $3s/4$
- **Bad call:** one of L and G has size greater than $3s/4$



- A call is good with probability 1/2

Selection Problem: Probabilistic Selection

Analysis of QuickSelect

- Worst-case running time $O(n^2)$, why?
 - Unlucky and always partition : (minimum or maximum)
 - An empty side and a side with remaining elements.
 - $T(n) = \Theta(n) + \Theta(n-1) + \dots + \Theta(2) = \Theta(n(n+1)-1) = \Theta(n^2)$.
- But in average, it is good.
- Using probabilistic analysis/random variable
 - The expected running time is $O(n)$.
 - Moreover, no particular input elicits the worst-case behavior, because of "randomness"
- Can we do better, such that $O(n)$ in worst case?

Selection in worst-case linear time $O(n)$

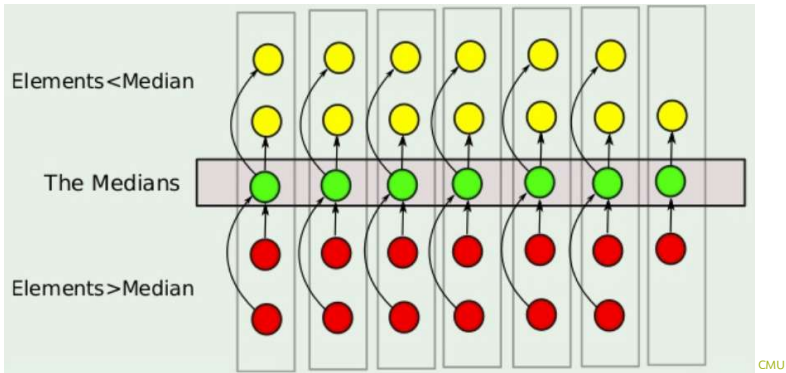
Goal: Select the i^{th} smallest element of $S = \{a_1, a_2, \dots, a_n\}$

Solution: Use the so called prune-and-search technique

1. Let $x \in S$, and partition S into **three** subsets
 2. $S_1 = \{a_j | a_j < x\}$, $S_2 = \{a_j | a_j = x\}$, $S_3 = \{a_j | a_j > x\}$
 3. If $|S_1| > i$, **search** i^{th} smallest element in S_1 **recursively**, (**prune S_2 and S_3 away**)
 4. Else if $|S_1| + |S_2| > i$, then return x (the i^{th} smallest element)
 5. Else **search** $(k - (|S_1| + |S_2|))^{\text{th}}$ in S_3 **recursively**, (**prune S_1 and S_2 away**)
- How to select x such that S_1 and S_3 are nearly equal in cardinality? Force an even search!!

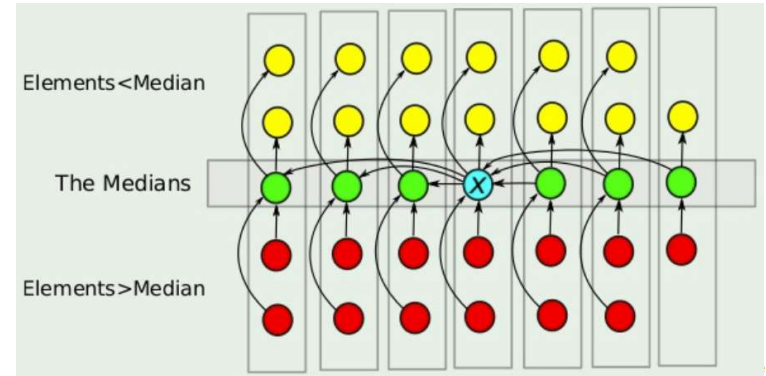
The way to select x

- Divide n elements of S into $\lceil \frac{n}{5} \rceil$ groups of 5 elements each
- Arrows go from less to greater!!



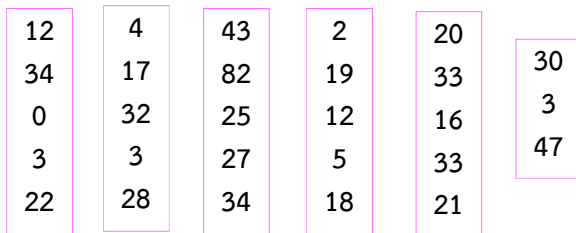
The way to select x

- Find the median of median
- Again the arrows indicate the order from less to greater



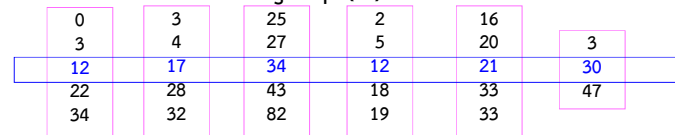
Selection Problem: Deterministic Selection

- $S = \{12, 34, 0, 3, 22, 4, 17, 32, 3, 28, 43, 82, 25, 27, 34, 2, 19, 12, 5, 18, 20, 33, 16, 33, 21, 30, 3, 47\}$

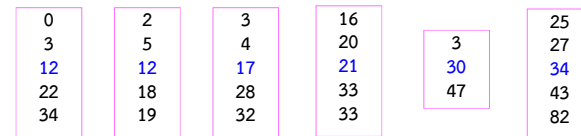


Selection Problem: Deterministic Selection

Find the median of each group (M)

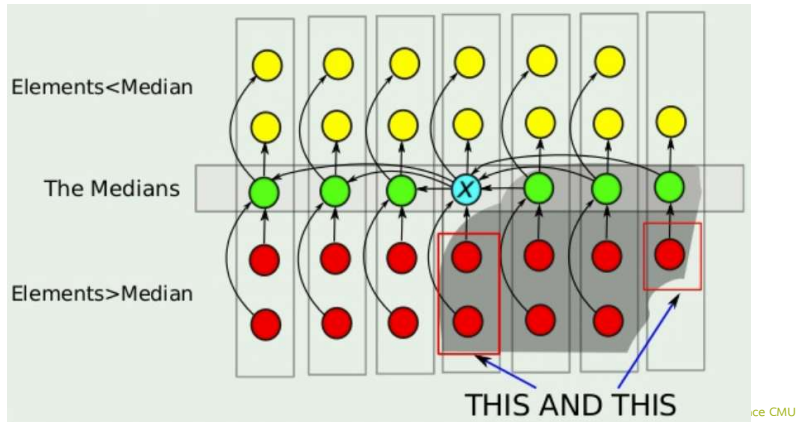


12 12 17 21 30 34



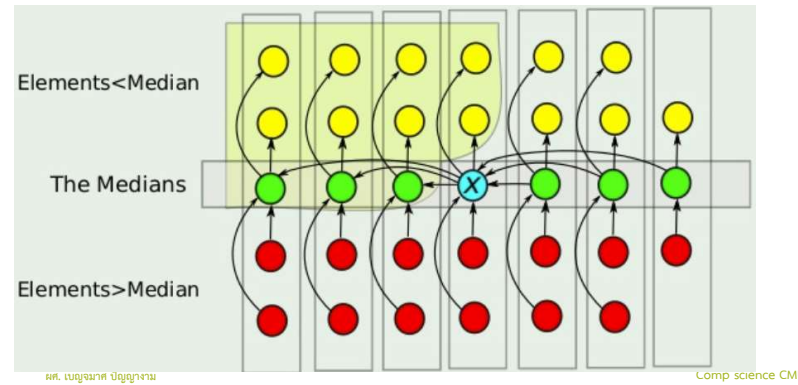
The way to select x

- At least $\frac{1}{2} \lfloor \frac{n}{5} \rfloor - 2$ possible groups with 3 elements **greater** than x.



The way to select x

- At least $\frac{1}{2} \lfloor \frac{n}{5} \rfloor - 2$ possible groups with 3 elements **less** than x.



- Thus, we have
- First

$$3 \left(\frac{1}{2} \lfloor \frac{n}{5} \rfloor - 2 \right) = \frac{3n}{10} - 6 \text{ elements } < x$$

- Second

$$3 \left(\frac{1}{2} \lfloor \frac{n}{5} \rfloor - 2 \right) = \frac{3n}{10} - 6 \text{ elements } > x$$

SELECT the i^{th} element in n elements

- Divide n elements into $\lfloor \frac{n}{5} \rfloor$ groups of 5 elements $O(n)$
- Find the median of each group $O(n)$
- Use SELECT recursively to find the median of x of the above $\lfloor \frac{n}{5} \rfloor$ medians $T(\lfloor \frac{n}{5} \rfloor)$
- Partition n elements around x into $S_1, S_2,$ and S_3 $O(n)$
- If $|S_1| > i$ then search i^{th} smallest element in S_1 recursively
Else if $|S_1| + |S_2| > i$ then return x
Else search $(i - (|S_1| + |S_2|))^{\text{th}}$ in S_3 recursively

Step 5

- At least half of the medians in step 2 are greater or equal than x, thus at least $\frac{1}{2} \lfloor \frac{n}{5} \rfloor - 2$ groups contribute 3 elements which are greater or equal than x. i.e., $3 \left(\frac{1}{2} \lfloor \frac{n}{5} \rfloor - 2 \right) \geq \frac{3n}{10} - 6$
- Similarly, the number of elements less or equal than x is also at least $\frac{3n}{10} - 6$
- This, $|S_1|$ is at most $\frac{7n}{10} + 6$, similarly for $|S_3|$
- Thus, SELECT in step 5 is called recursively on at most $\frac{7n}{10} + 6$.

□ Recurrence is:

$$T(n) = \begin{cases} O(1) & \text{if } n < \text{some value (i.e. 140)} \\ T\left(\lfloor \frac{n}{5} \rfloor\right) + T\left(\frac{7n}{10} + 6\right) + O(n) & \text{if } n \geq \text{some value (i.e. 140)} \end{cases}$$

T(Median-of-medians)

T(Partition)

T(recursive call)

Solve recurrence by substitution

- Suppose $T(n) \leq cn$, for some c.
- $$\begin{aligned} T(n) &\leq c \lfloor \frac{n}{5} \rfloor + c(7n/10+6) + an \\ &\leq cn/5 + c + 7/10 cn + 6c + an \\ &\leq 9/10 cn + 7c + an \\ &\leq cn + (-cn/10 + an + 7c) \end{aligned}$$
- Which is at most cn if $-cn/10 + an + 7c < 0$.
 - i.e., $c \geq 10a(n/(n-70))$ when $n > 70$.
- So select $n=140$, and then $c \geq 20a$.
- Note: n may not be 140, any integer > 70 is OK.