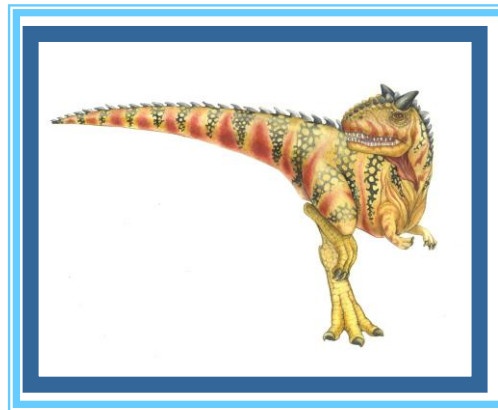
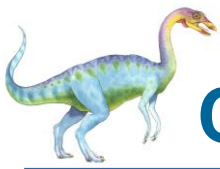


# Chapter 8: Virtual-Memory Management

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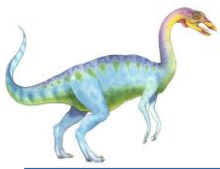


# Chapter 8: Virtual-Memory Management

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- Background
- Demand Paging
- Copy-on-Write
- Page Replacement
- Allocation of Frames
- Thrashing
- Memory-Mapped Files
- Allocating Kernel Memory
- Other Considerations
- Operating-System Examples





# Objectives

---

- To describe the benefits of a virtual memory system
- To explain the concepts of demand paging, page-replacement algorithms, and allocation of page frames
- Apply the FIFO, optimal, and LRU page-replacement algorithms.





# Background

---

- Code needs to be in memory to execute, but entire program rarely used
  - Error code, unusual routines, large data structures
- Entire program code not needed at same time
- Consider ability to execute partially-loaded program
  - Program no longer constrained by limits of physical memory
  - Each program takes less memory while running -> more programs run at the same time
    - ▶ Increased CPU utilization and throughput with no increase in response time or turnaround time
  - Less I/O needed to load or swap programs into memory -> each user program runs faster





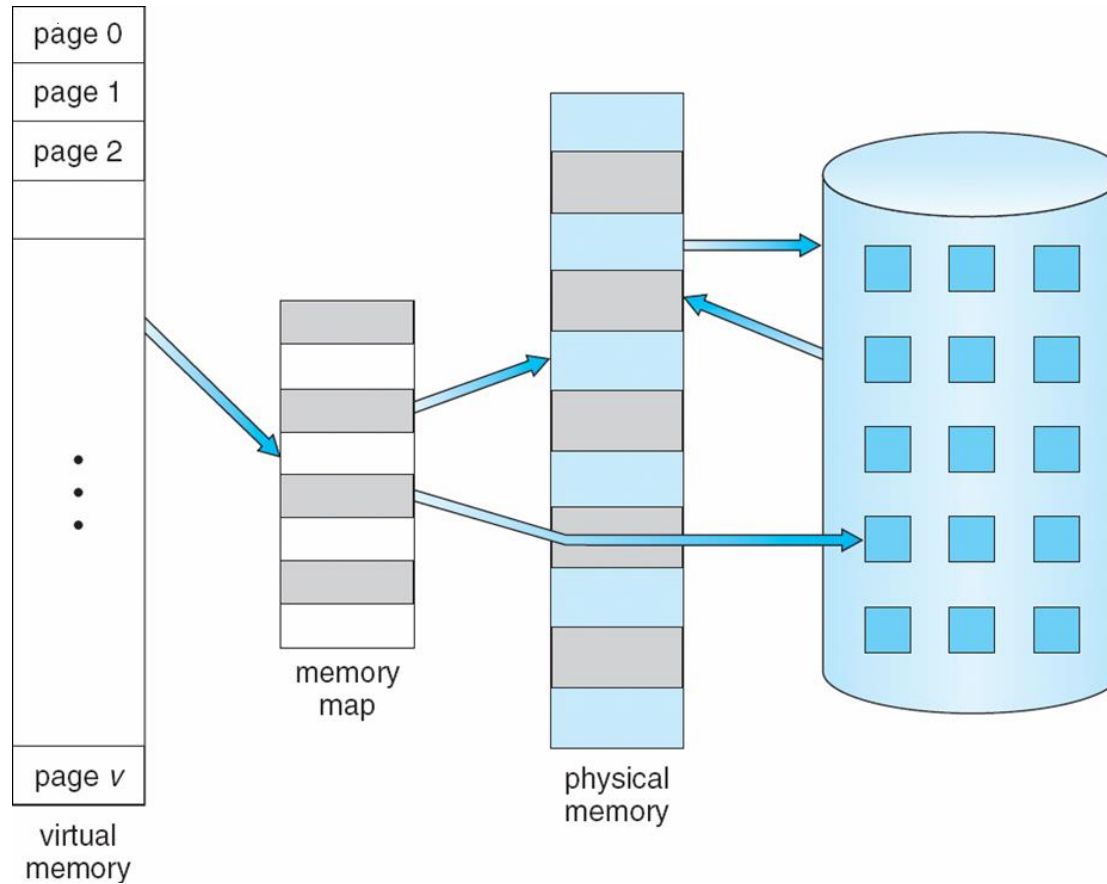
# Background

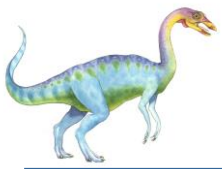
- **Virtual memory** – separation of user logical memory from physical memory.
  - Only part of the program needs to be in memory for execution
  - Logical address space can therefore be much larger than physical address space
  - Allows address spaces to be shared by several processes
  - Allows for more efficient process creation
  - More programs running concurrently
  - Less I/O needed to load or swap processes
  
- **Virtual address space** – logical view of how process is stored in memory
  - Usually start at address 0, contiguous addresses until end of space
  - Meanwhile, physical memory organized in page frames
  - MMU must map logical to physical
  
- Virtual memory can be implemented via:
  - Demand paging
  - Demand segmentation



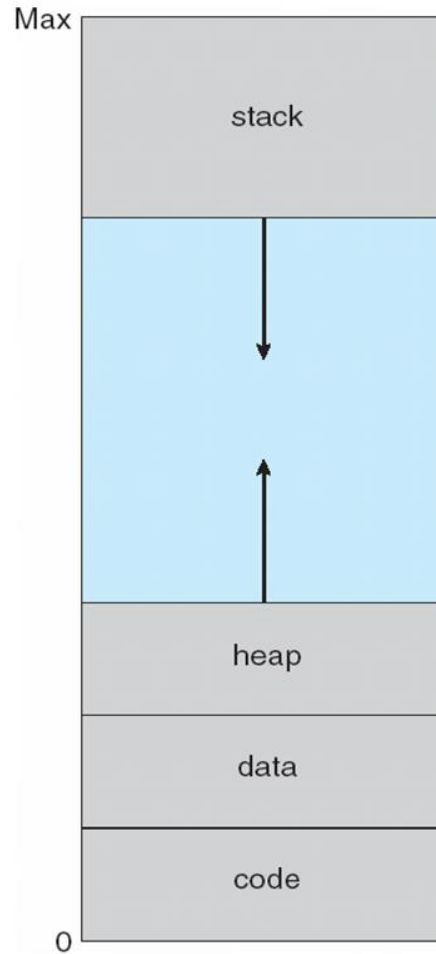


# Virtual Memory That is Larger Than Physical Memory



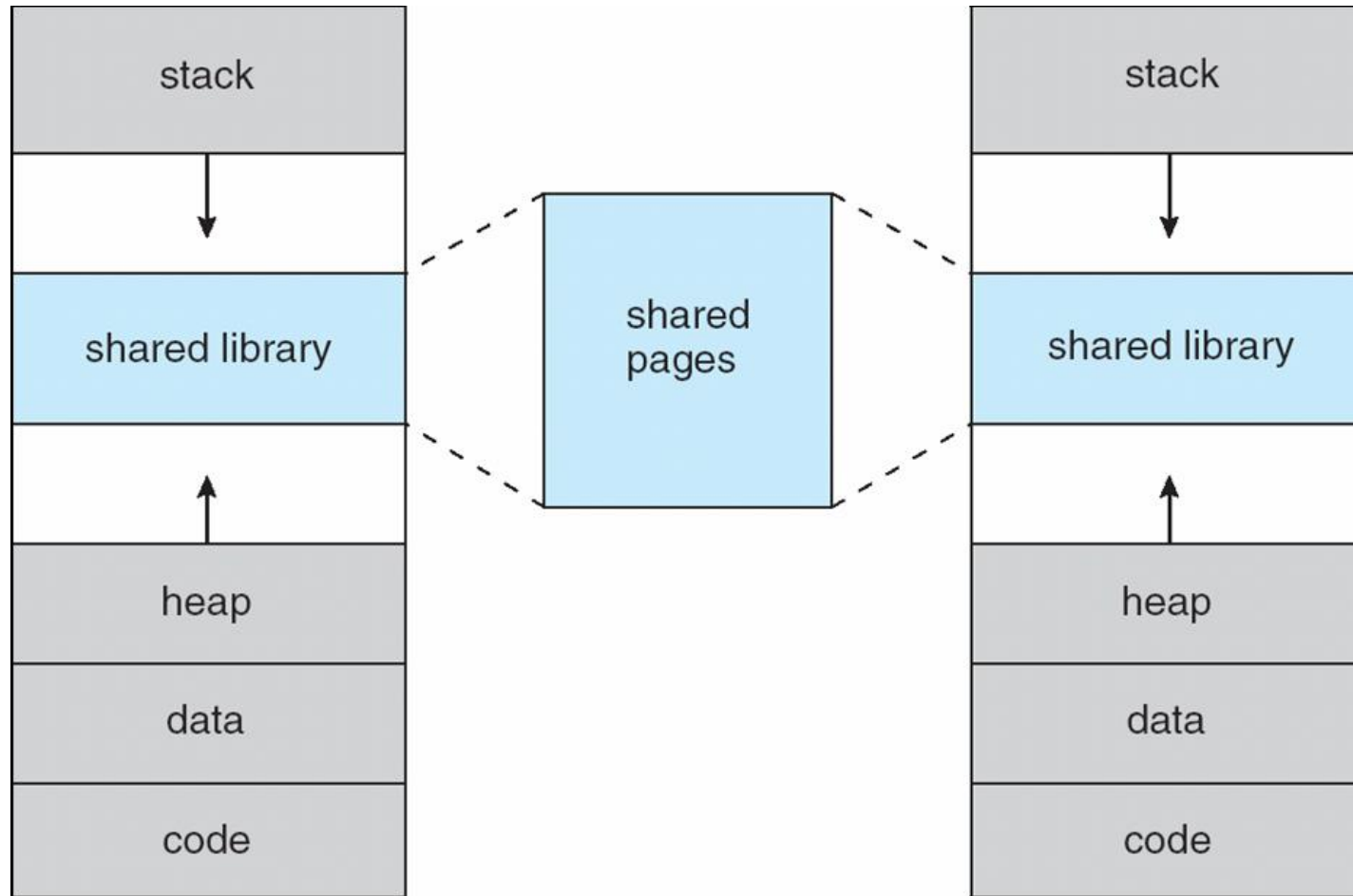


# Virtual-address Space





# Shared Library Using Virtual Memory







# Demand Paging

(การจัดสรร **Paging** ตามความต้องการที่ร้องขอ)

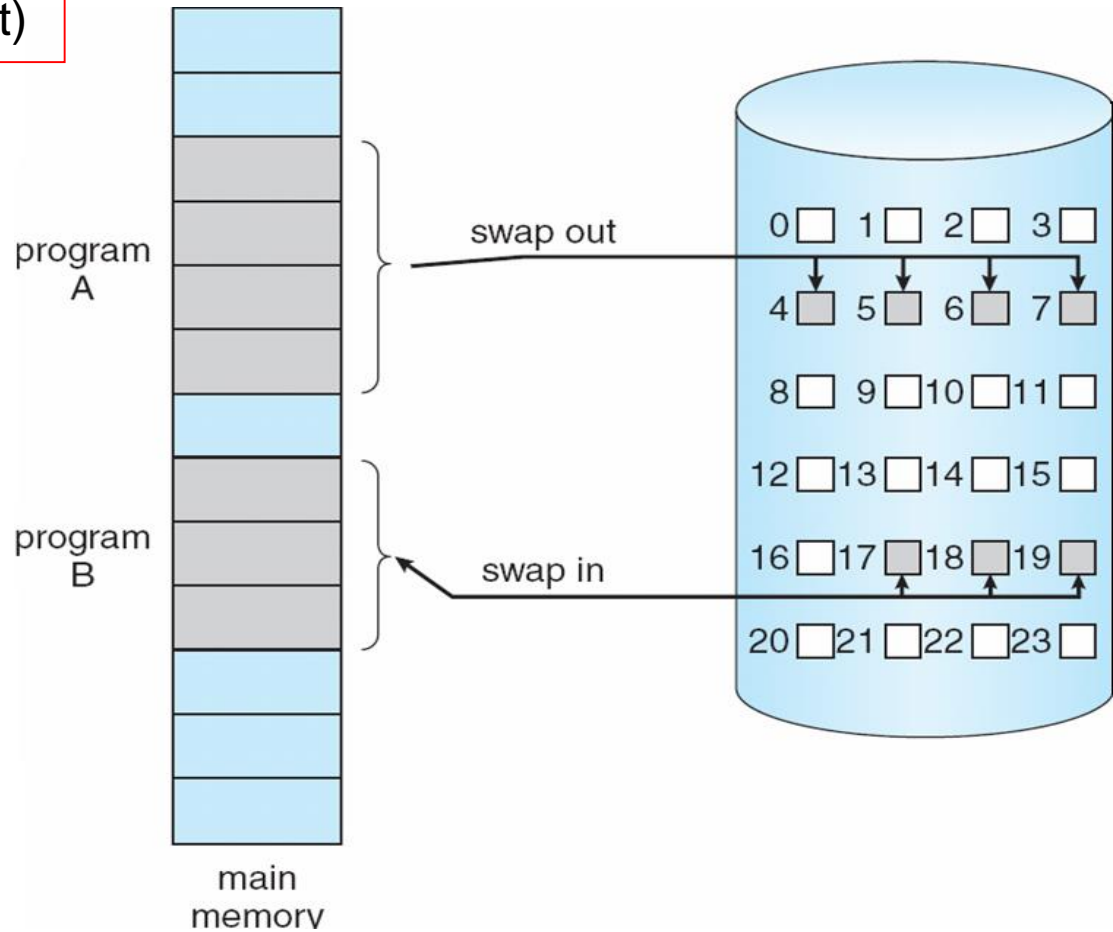
- Bring a page into memory **only when it is needed**
  - Less I/O needed
  - Less memory needed
  - Faster response
  - More users
  
- Page is needed  $\Rightarrow$  reference to it (หากต้องการใช้ **page** ให้อ้างตำแหน่งถึง **page** ที่ต้องการ)
  - invalid reference  $\Rightarrow$  abort (หากอ้างตำแหน่งไม่ถูกต้องให้ยกเลิก)
  - not-in-memory  $\Rightarrow$  bring to memory (หากอ้างแล้วไม่มีใน **memory** ให้นำเข้ามาไว้ใน **memory**)
  
- **Lazy swapper** – never swaps a page into memory unless page will be needed
  - Swapper that deals with pages is a **pager**





# Transfer of a Paged Memory to Contiguous Disk Space

มี pager เป็นตัวย้าย page  
เข้า (swap in) หรือออก (swap out)





# Valid-Invalid Bit

- With each page table entry a valid–invalid bit is associated (**v**  $\Rightarrow$  in-memory, **i**  $\Rightarrow$  not-in-memory)
- Initially valid–invalid bit is set to **i** on all entries
- Example of a page table snapshot:

Frame #	valid-invalid bit
	<b>v</b>
	<b>v</b>
	<b>v</b>
	<b>v</b>
	<b>i</b>
....	
	<b>i</b>
	<b>i</b>

page table

page fault

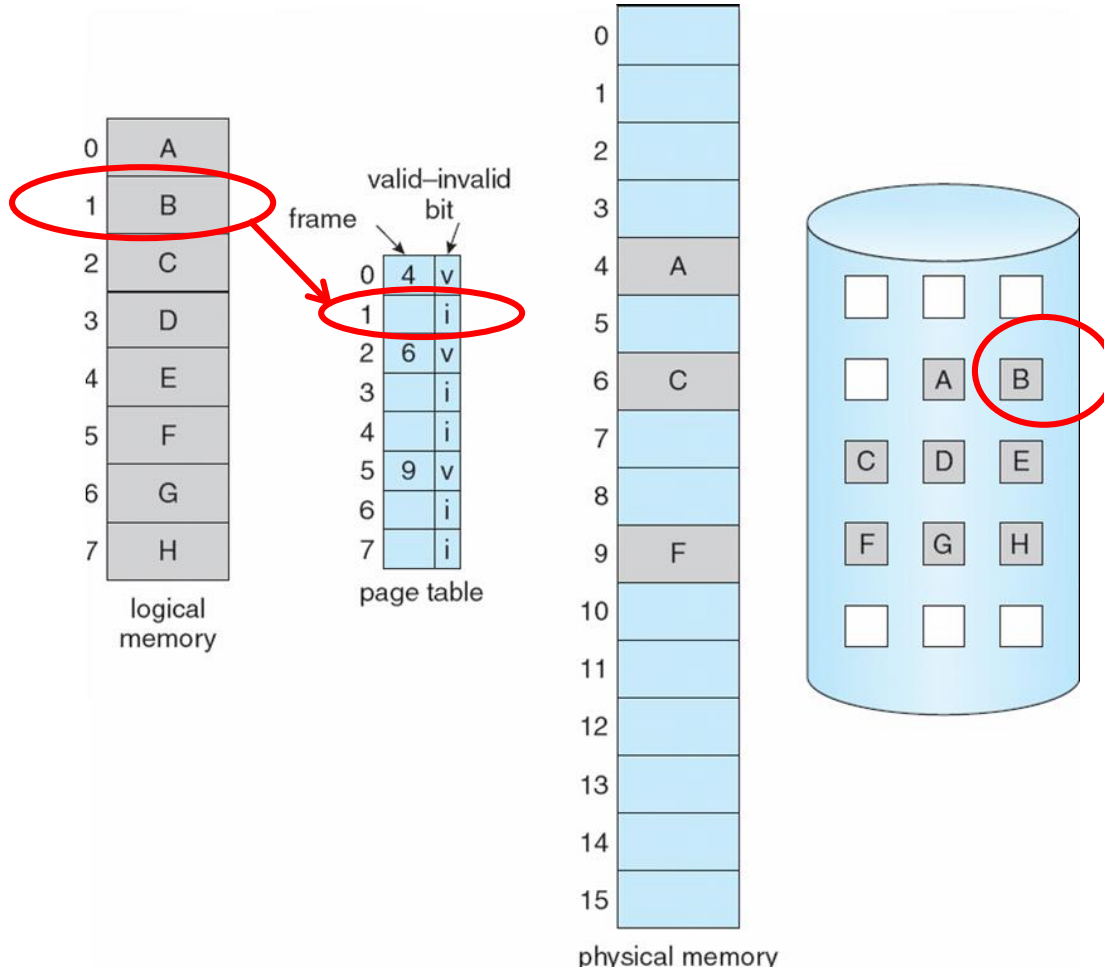
The table is labeled 'page table' at the bottom. A red oval highlights the 'i' bit in the fifth row, and a red arrow points from a box labeled 'page fault' to this oval.

- During address translation, if valid–invalid bit in page table entry is **i**  $\Rightarrow$  page fault (การอ้างอิงผิดหน้าหรือไม่พบหน้าที่ต้องการ).





# Page Table When Some Pages Are Not in Main Memory





# Page Fault

เมื่อมีการอ้างอิงผิดหน้าหรือไม่พบหน้าที่ต้องการในหน่วยความจำหลัก (**page fault**) จะมีขั้นตอนดำเนินการดังนี้

- If there is a reference to a page, first reference to that page will trap to operating system:

## page fault

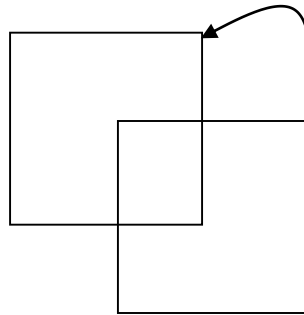
1. Operating system looks at another table to decide:
  - Invalid reference  $\Rightarrow$  abort
  - Just not in memory
2. Get empty frame
3. Swap page into frame
4. Reset tables
5. Set validation bit = **v**
6. Restart the instruction that caused the page fault





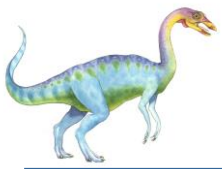
# Page Fault (Cont.)

- Restart instruction (ทำต่อจากจุดที่ได้ทำมาแล้วล่าสุด หรือ ทำต่อจากจุดที่เกิด page fault ขึ้น)
  - block move

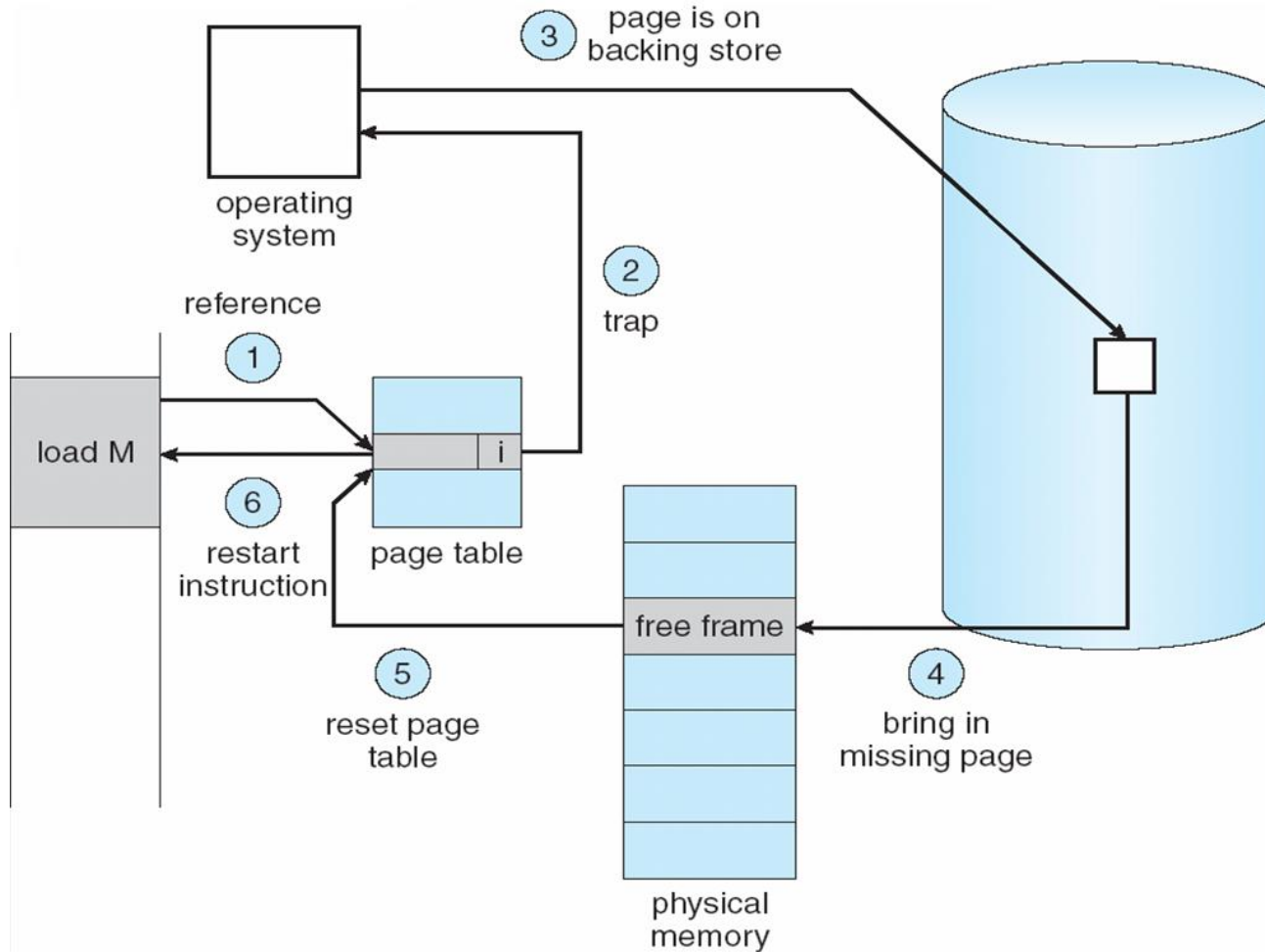


- auto increment/decrement location





# Steps in Handling a Page Fault





# What happens if there is no free frame?

---

- **Page replacement** – find some page in memory, but not really in use, swap it out
  - algorithm
  - performance – want an algorithm which will result in minimum number of page faults
- Same page may be brought into memory several times







# Page Replacement

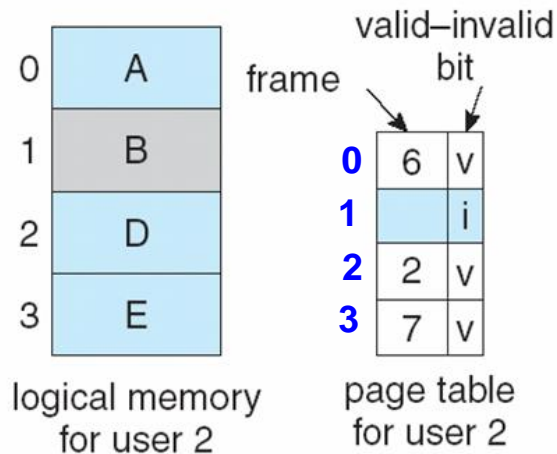
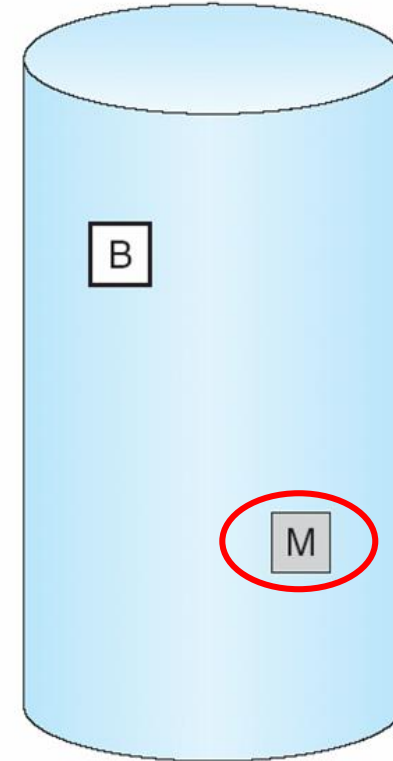
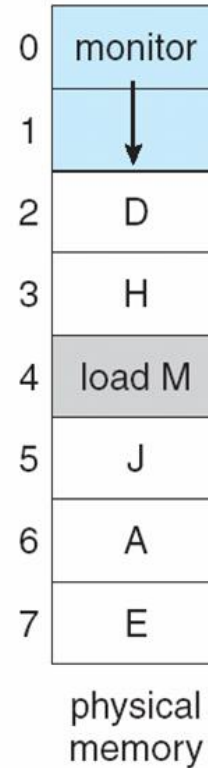
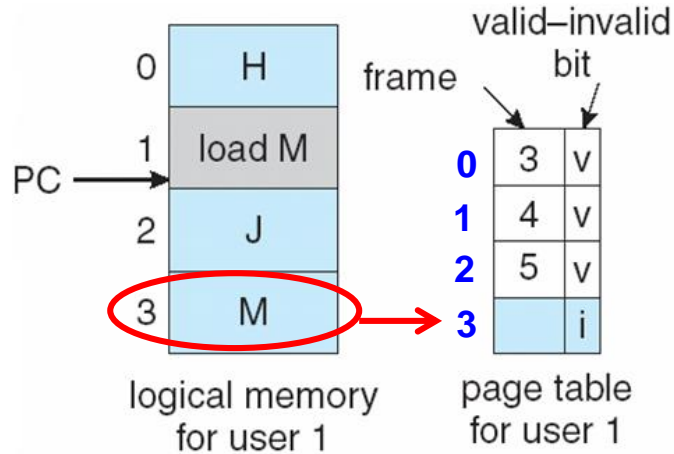
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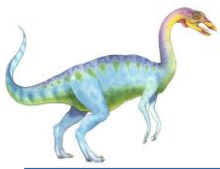
- **Prevent over-allocation of memory** by modifying page-fault service routine to include page replacement
- Use **modify (dirty) bit** to reduce overhead of page transfers – only modified pages are written to disk
- Page replacement completes separation between logical memory and physical memory – large virtual memory can be provided on a smaller physical memory





# Need For Page Replacement



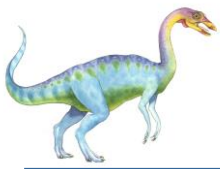


# Basic Page Replacement

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1. Find the location of the desired page on disk
2. Find a free frame:
  - If there is a free frame, use it
  - If there is no free frame, use a page replacement algorithm to select a **victim** frame
3. Bring the desired page into the (newly) free frame; update the page and frame tables
4. Restart the process





# Page Replacement

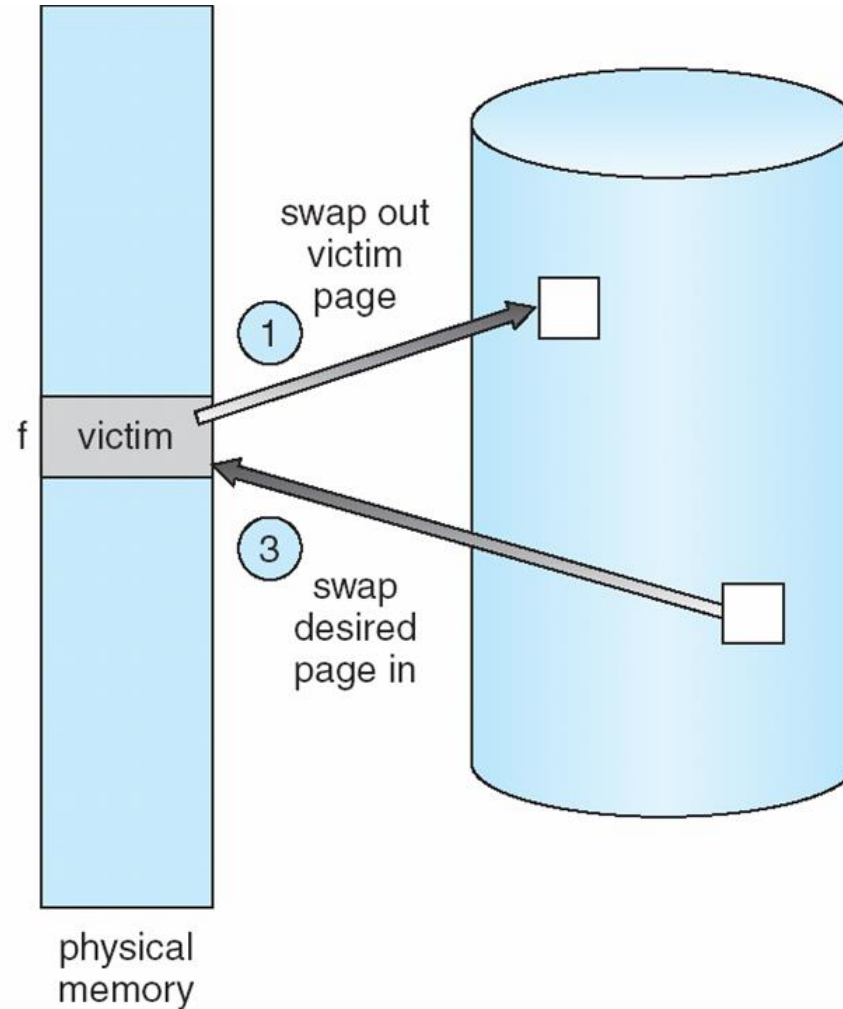
frame    valid-invalid bit

0	i
f	v

page table

② change to invalid

④ reset page table for new page





# Page Replacement Algorithms

---

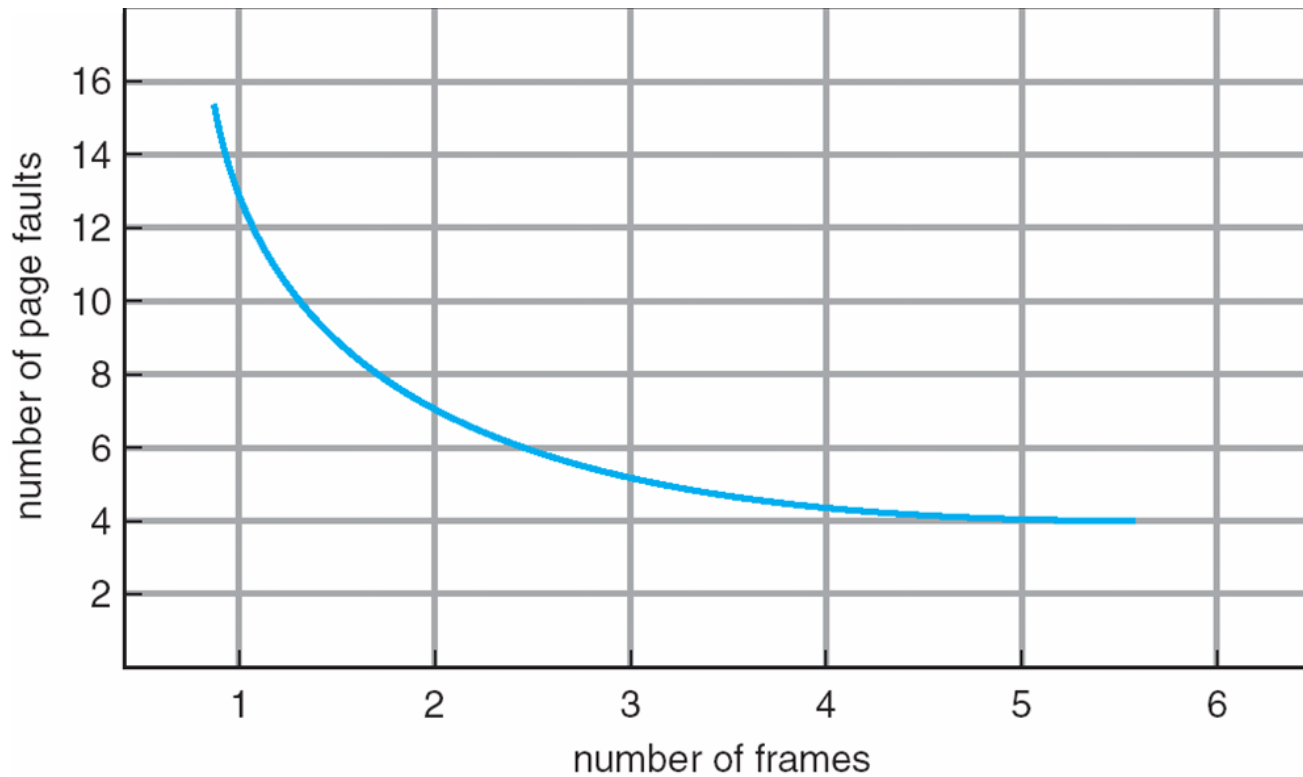
- **Want lowest page-fault rate**
- Evaluate algorithm by running it on a particular string of memory references (reference string) and computing the number of page faults on that string
- In all our examples, the reference string is

**1, 2, 3, 4, 1, 2, 5, 1, 2, 3, 4, 5**





# Graph of Page Faults Versus The Number of Frames





# First-In-First-Out (FIFO) Algorithm

- Reference string: 1, 2, 3, 4, 1, 2, 5, 1, 2, 3, 4, 5
- 3 frames (3 pages can be in memory at a time per process)

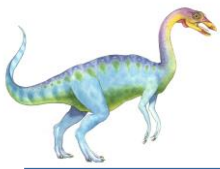
1	1	4	5	
2	2	1	3	9 page faults
3	3	2	4	

- 4 frames

1	1	5	4	
2	2	1	5	10 page faults
3	3	2		
4	4	3		

- **Belady's Anomaly:** more frames  $\Rightarrow$  more page faults



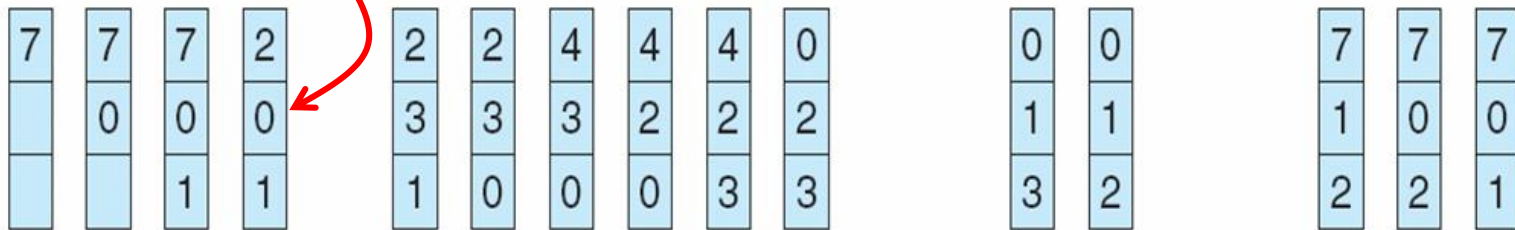


# FIFO Page Replacement

มี 0 อยู่แล้วใน memory จึงไม่ต้องไปดึงข้อมูลมาใหม่อีก  
จึงไม่เกิด page fault สำหรับ page 0

reference string

7 0 1 2 0 3 0 4 2 3 0 3 2 1 2 0 1 7 0 1



page frames

เมื่อ physical memory 3 frames และใช้ reference string ตามที่กำหนดให้ จะเกิด page fault ทั้งหมด 15 page faults

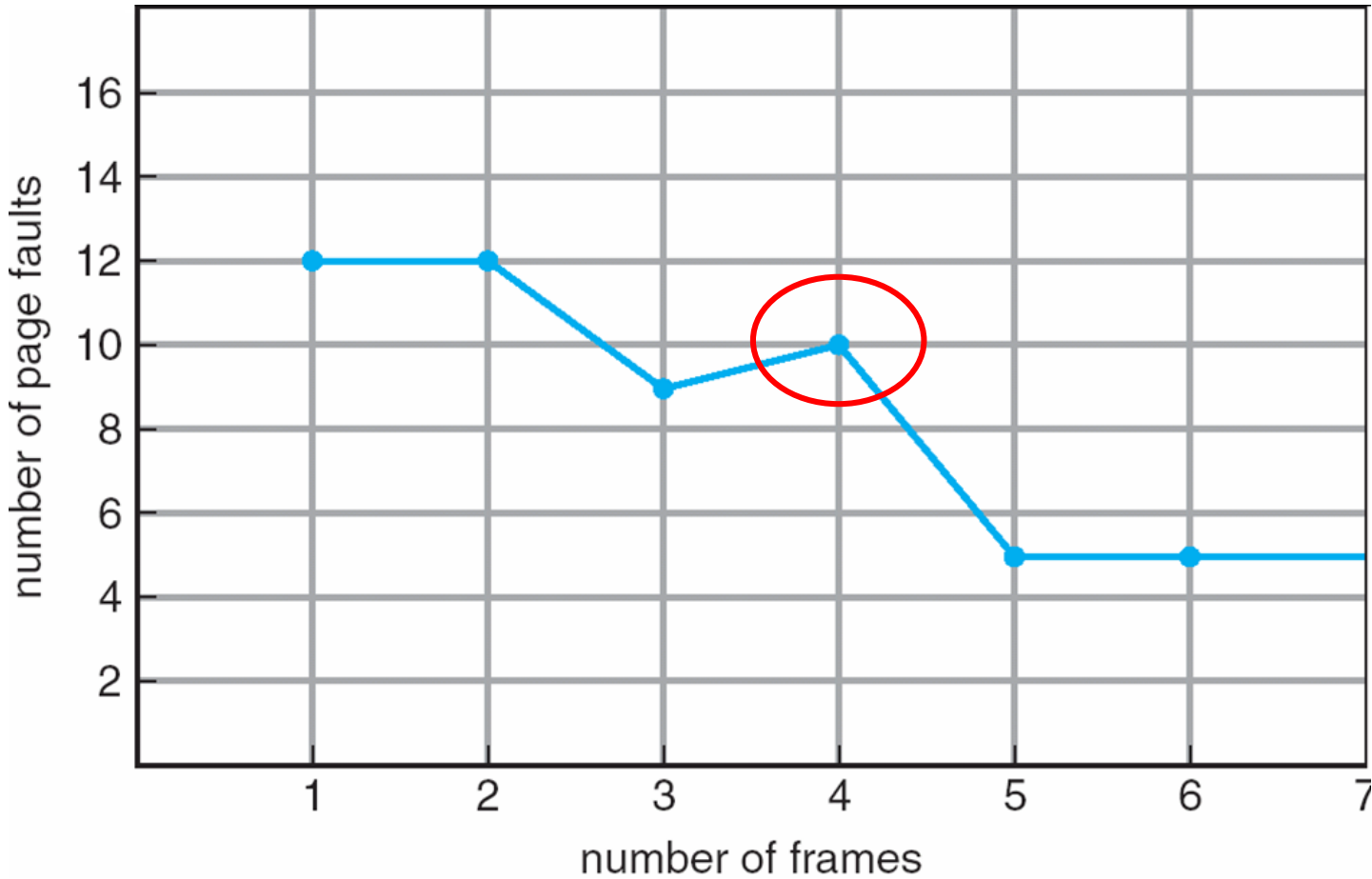
- ครั้งแรกไม่มี page อยู่ใน Physical Memory จะเกิด page fault







# FIFO Illustrating Belady's Anomaly



**Belady's Anomaly** : เป็นเหตุการณ์ที่เมื่อมี Physical Memory เพิ่มขึ้น แต่จะเกิด page fault เพิ่มขึ้นด้วย (เป็นข้อยกเว้นสำหรับ FIFO Algorithm)





# Optimal Algorithm

- Replace page that will not be used for longest period of time

(มองใน **list** ของ **reference string** ถัดไปในอนาคตว่า **page** ใดอีกนานที่จะถูกใช้งาน จะโดน **replace** )

- 4 frames example

1, 2, 3, 4, 1, 2, 5, 1, 2, 3, 4, 5

1
2
3
4

4

6 page faults

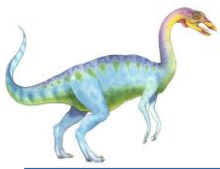
5

- How do you know this?

ไม่ **make sence** เราไม่สามารถรู้อนาคตได้

- Used for measuring how well your algorithm performs

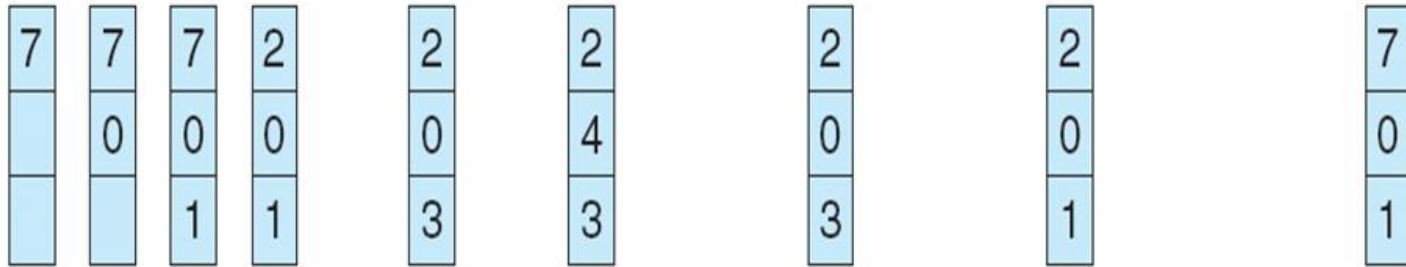




# Optimal Page Replacement

reference string

7 0 1 2 0 3 0 4 2 3 0 3 2 1 2 0 1 7 0 1



page frames

เกิดขึ้นที่ page fault ???





# Least Recently Used (LRU) Algorithm

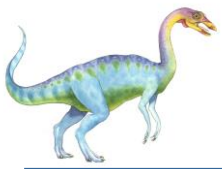
(มองย้อนกลับไปในอดีตว่า **page** ใดไม่ได้ถูกใช้มานานที่สุดจะถูก **replace**)

- Reference string: 1, 2, 3, 4, 1, 2, **5**, 1, 2, **3**, **4**, **5**

1	1	1	1	<b>5</b>
2	2	2	2	2
3	<b>5</b>	5	4	4
4	4	<b>3</b>	3	3

- Counter implementation
  - Every page entry has a counter; every time page is referenced through this entry, copy the clock into the counter
  - When a page needs to be changed, look at the counters to determine which are to change

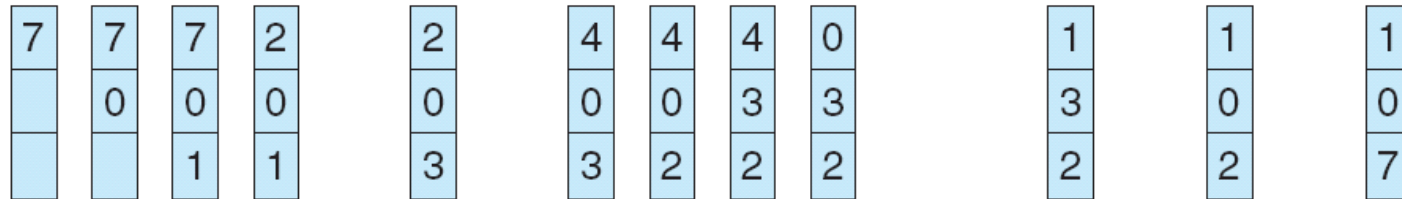




# LRU Page Replacement

reference string

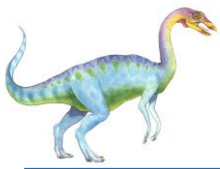
7 0 1 2 0 3 0 4 2 3 0 3 2 1 2 0 1 7 0 1



page frames

เกิดขึ้นที่ page fault ???





# LRU Algorithm (Cont.)

- Counter implementation
  - Every page entry has a counter; every time page is referenced through this entry, copy the clock into the counter
  - When a page needs to be changed, look at the counters to find smallest value
    - ▶ Search through table needed
  
- Stack implementation – keep a stack of page numbers in a double link form:
  - Page referenced:
    - ▶ move it to the top
    - ▶ requires 6 pointers to be changed
  - No search for replacement
  
- LRU and OPT are cases of **stack algorithms** that don't have Belady's Anomaly

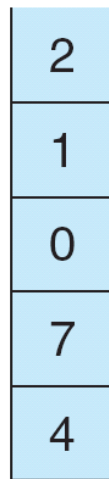




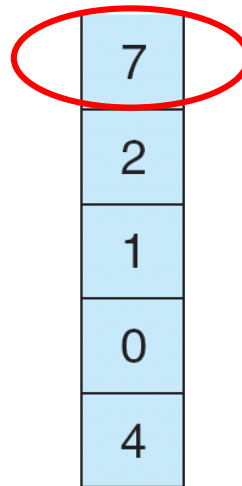
# Use Of A Stack to Record The Most Recent Page References

reference string

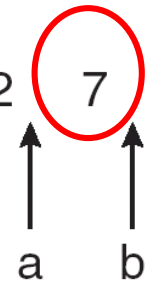
4 7 0 7 1 0 1 2 1 2 7 1 2

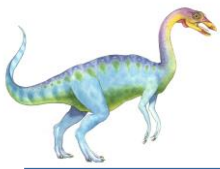


stack  
before  
a



stack  
after  
b





# LRU Approximation Algorithms

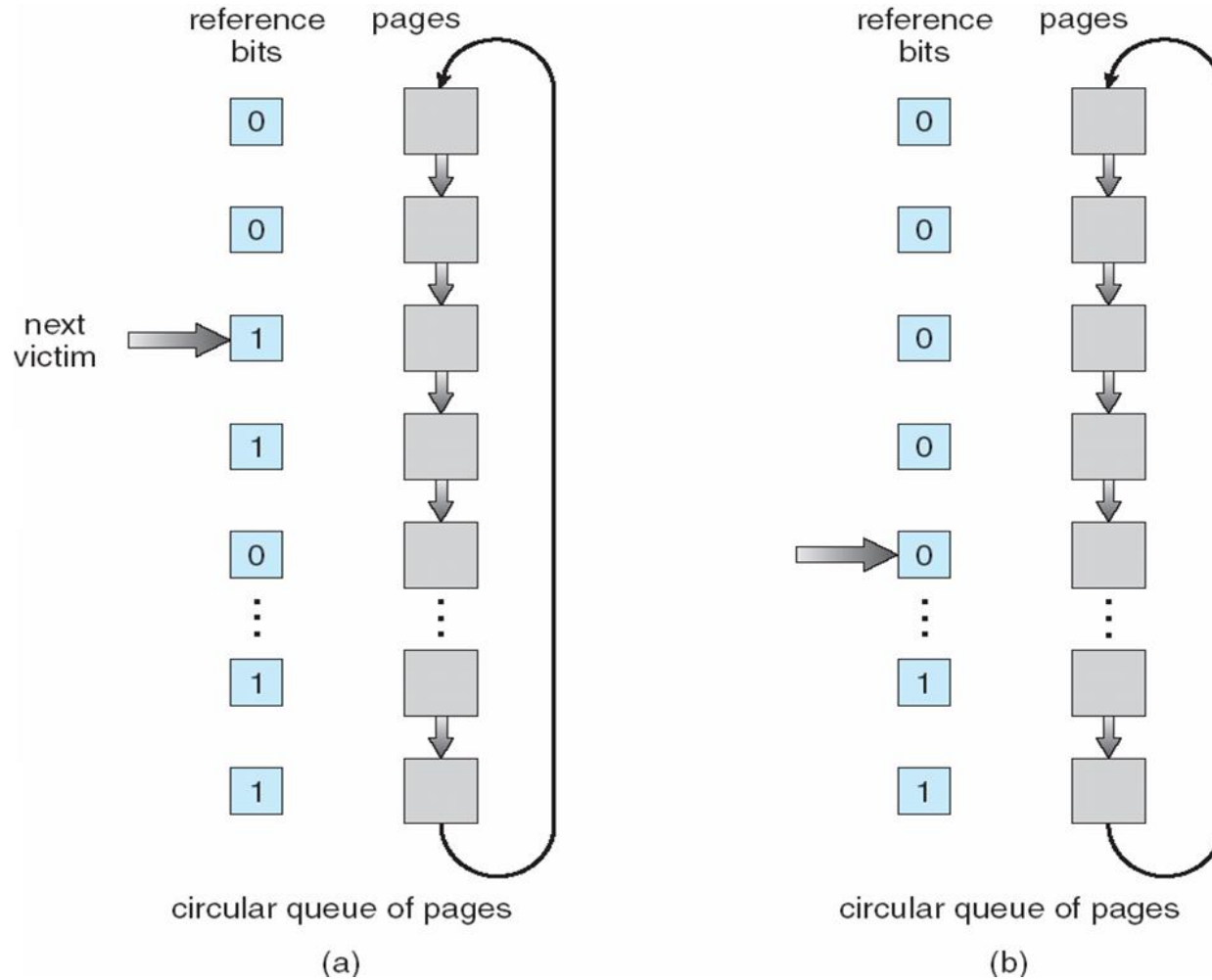
- LRU needs special hardware and still slow
- **Reference bit**
  - With each page associate a bit, initially = 0
  - When page is referenced bit set to 1
  - Replace any with reference bit = 0 (if one exists)
    - ▶ We do not know the order, however
- **Second-chance algorithm**
  - Generally FIFO, plus hardware-provided reference bit
  - **Clock** replacement
  - If page to be replaced has
    - ▶ Reference bit = 0 -> replace it
    - ▶ reference bit = 1 then:
      - set reference bit 0, leave page in memory
      - replace next page, subject to same rules







# Second-Chance (clock) Page-Replacement Algorithm





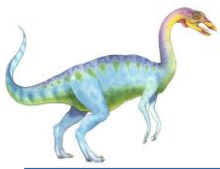
# Counting Algorithms

---

- Keep a counter of the number of references that have been made to each page
- **LFU Algorithm**: replaces page with smallest count
- **MFU Algorithm**: based on the argument that the page with the smallest count was probably just brought in and has yet to be used

**LFU : Least Frequently Used** (ความถี่ในการถูกใช้น้อยที่สุด)  
**MFU: Most Frequently Used** (ความถี่ในการถูกใช้มากที่สุด)





# Allocation of Frames

---

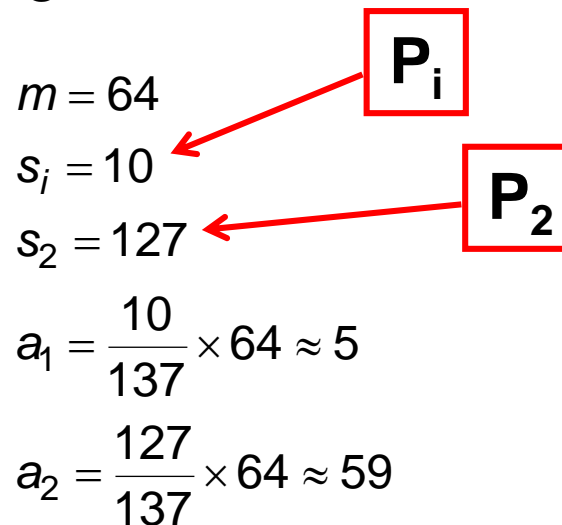
- Each process needs *minimum* number of pages
- Example: IBM 370 – 6 pages to handle SS MOVE instruction:
  - instruction is 6 bytes, might span 2 pages
  - 2 pages to handle *from*
  - 2 pages to handle *to*
- Two major allocation schemes
  - fixed allocation
  - priority allocation





# Fixed Allocation

- Equal allocation – For example, if there are 100 frames and 5 processes, give each process 20 frames. (ได้จาก (frame / process) = (100 / 5))
- Proportional allocation – Allocate according to the size of process
  - $s_i$  = size of process  $p_i$
  - $S = \sum s_i$
  - $m$  = total number of frames
  - $a_i$  = allocation for  $p_i = \frac{s_i}{S} \times m$



**$P_1$  ได้ 5 pages frame**

**$P_2$  ได้ 59 pages frame**



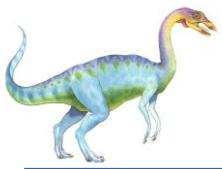


# Priority Allocation

---

- Use a proportional allocation scheme using priorities rather than size
  
- If process  $P_i$  generates a page fault,
  - select for replacement one of its frames
  - select for replacement a frame from a process with lower priority number





# Global vs. Local Allocation

---

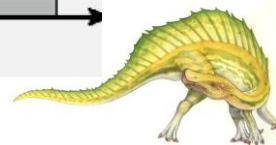
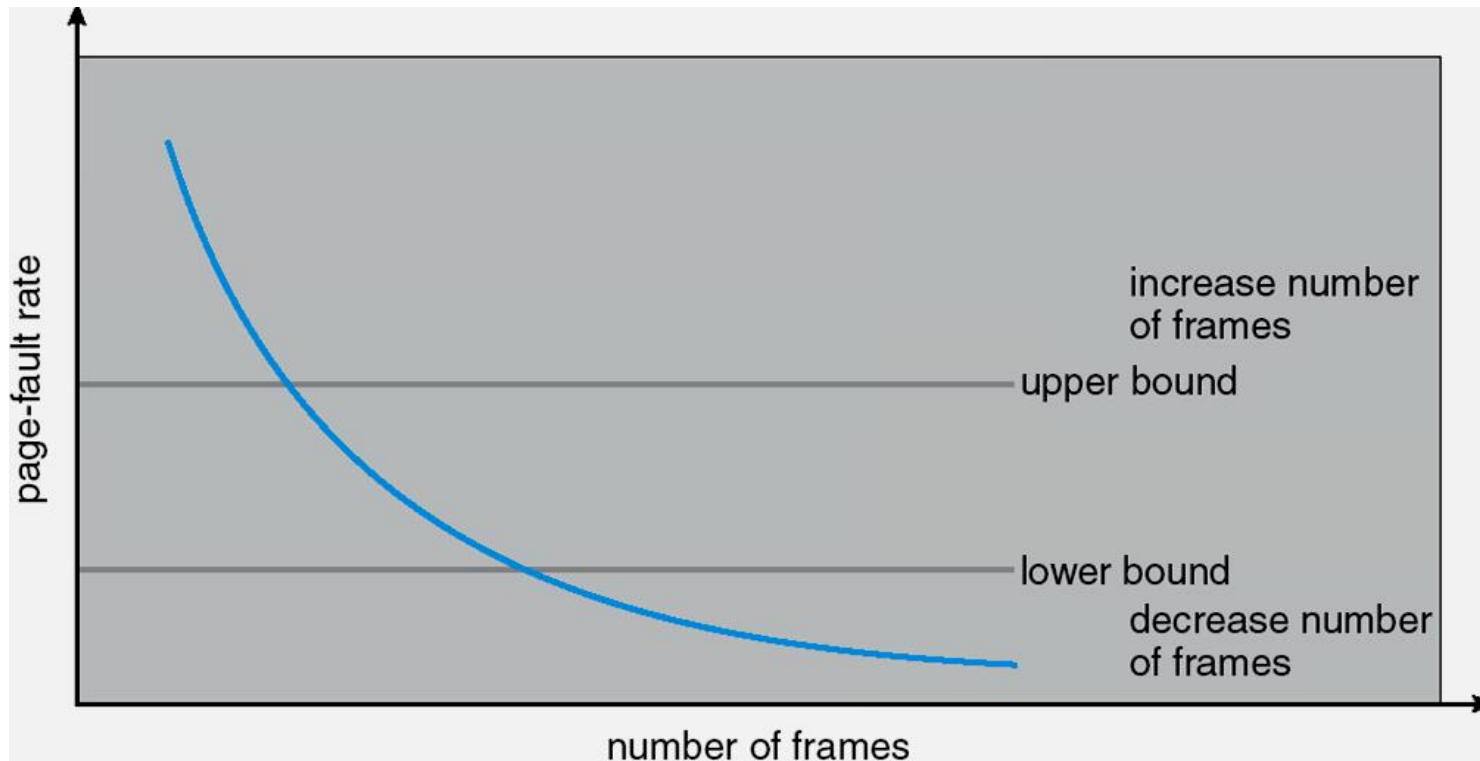
- **Global replacement** – process selects a replacement frame from the set of all frames; one process can take a frame from another
- **Local replacement** – each process selects from only its own set of allocated frames

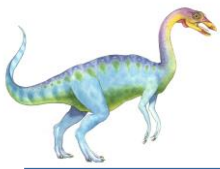




# Page-Fault Frequency Scheme

- Establish “acceptable” page-fault rate
  - If actual rate too low, process loses frame
  - If actual rate too high, process gains frame





# Other Issues -- Prepaging

## ■ Prepaging

- To reduce the large number of page faults that occurs at process startup
- Prepage all or some of the pages a process will need, before they are referenced
- But if prepaged pages are unused, I/O and memory was wasted
- Assume  $s$  pages are prepaged and  $\alpha$  of the pages is used
  - ▶ Is cost of  $s * \alpha$  save pages faults  $>$  or  $<$  than the cost of prepagging  
 $s * (1 - \alpha)$  unnecessary pages?
  - ▶  $\alpha$  near zero  $\Rightarrow$  prepagging loses







# Other Issues – Page Size

---

- Page size selection must take into consideration:

(เมื่อพิจารณาขนาดของ **page size** เป็นประเด็นหลัก)

- fragmentation
- table size -> หาก ขนาด **page** เล็ก ก็จะต้องมี **page table** ที่ใหญ่
- I/O overhead -> จะพิจารณาที่จำนวน **page fault**
- locality





# Other Issues – TLB Reach

---

- TLB Reach - The amount of memory accessible from the TLB
- $TLB\ Reach = (TLB\ Size) \times (Page\ Size)$
- Ideally, the working set of each process is stored in the TLB
  - Otherwise there is a high degree of page faults
- Increase the Page Size
  - This may lead to an increase in fragmentation as not all applications require a large page size
- Provide Multiple Page Sizes
  - This allows applications that require larger page sizes the opportunity to use them without an increase in fragmentation

TLB : Translation Look-aside Buffer





# Other Issues – Program Structure

**i** อ้างอิง **row**, **j** อ้างอิง **column**

## ■ Program structure

- `Int[128,128] data;`
- Each row is stored in one page
- **Program 1**

```
for (j = 0; j < 128; j++)  
    for (i = 0; i < 128; i++)  
        data[i, j] = 0;
```

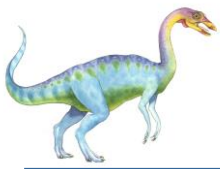
*128 x 128 = 16,384 page faults*

## ● **Program 2**

```
for (i = 0; i < 128; i++)  
    for (j = 0; j < 128; j++)  
        data[i, j] = 0;
```

*128 page faults*





# Other Issues – I/O interlock

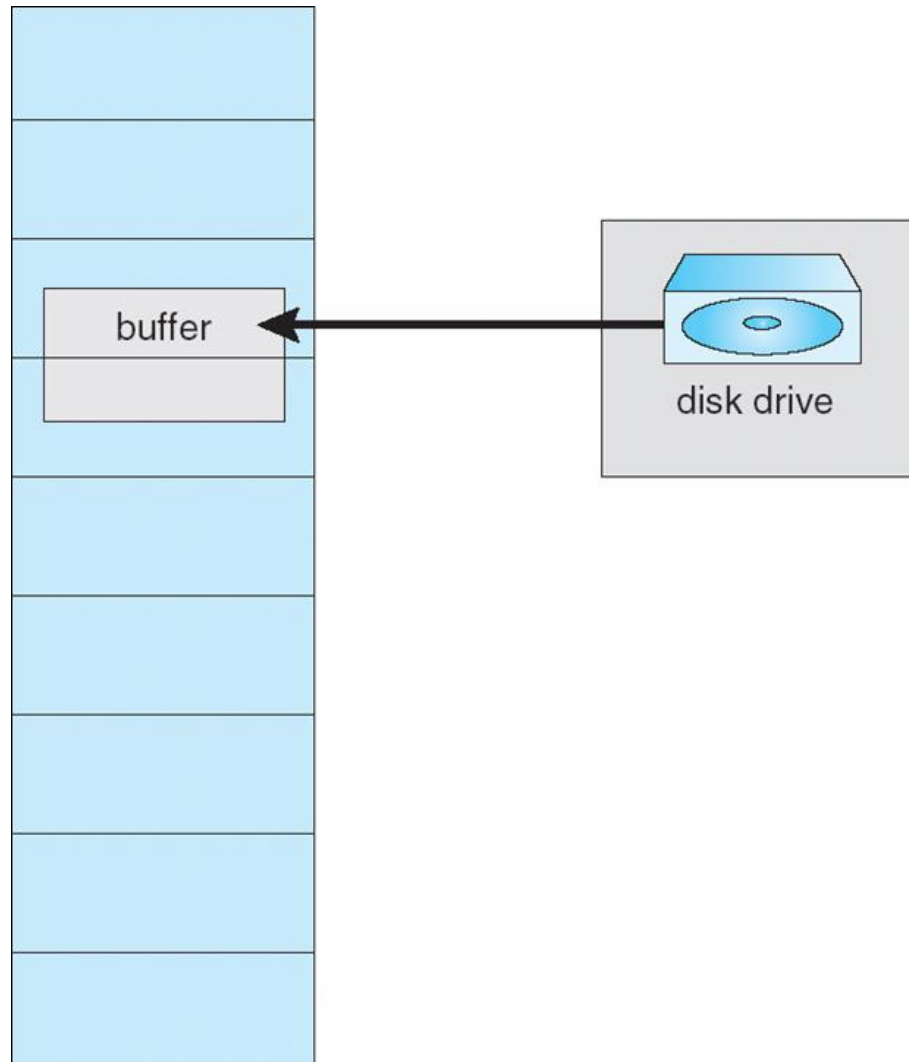
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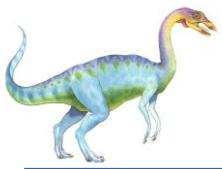
- **I/O Interlock** – Pages must sometimes be locked into memory
- Consider I/O - Pages that are used for copying a file from a device must be locked from being selected for eviction by a page replacement algorithm





# Reason Why Frames Used For I/O Must Be In Memory





# Operating System Examples

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- Windows XP
- Solaris





# Windows XP

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- Uses demand paging with **clustering**. Clustering brings in pages surrounding the faulting page
- Processes are assigned **working set minimum** and **working set maximum**
- Working set minimum is the minimum number of pages the process is guaranteed to have in memory
- A process may be assigned as many pages up to its working set maximum
- When the amount of free memory in the system falls below a threshold, **automatic working set trimming** is performed to restore the amount of free memory
- Working set trimming removes pages from processes that have pages in excess of their working set minimum





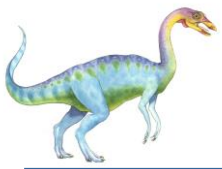
# Solaris

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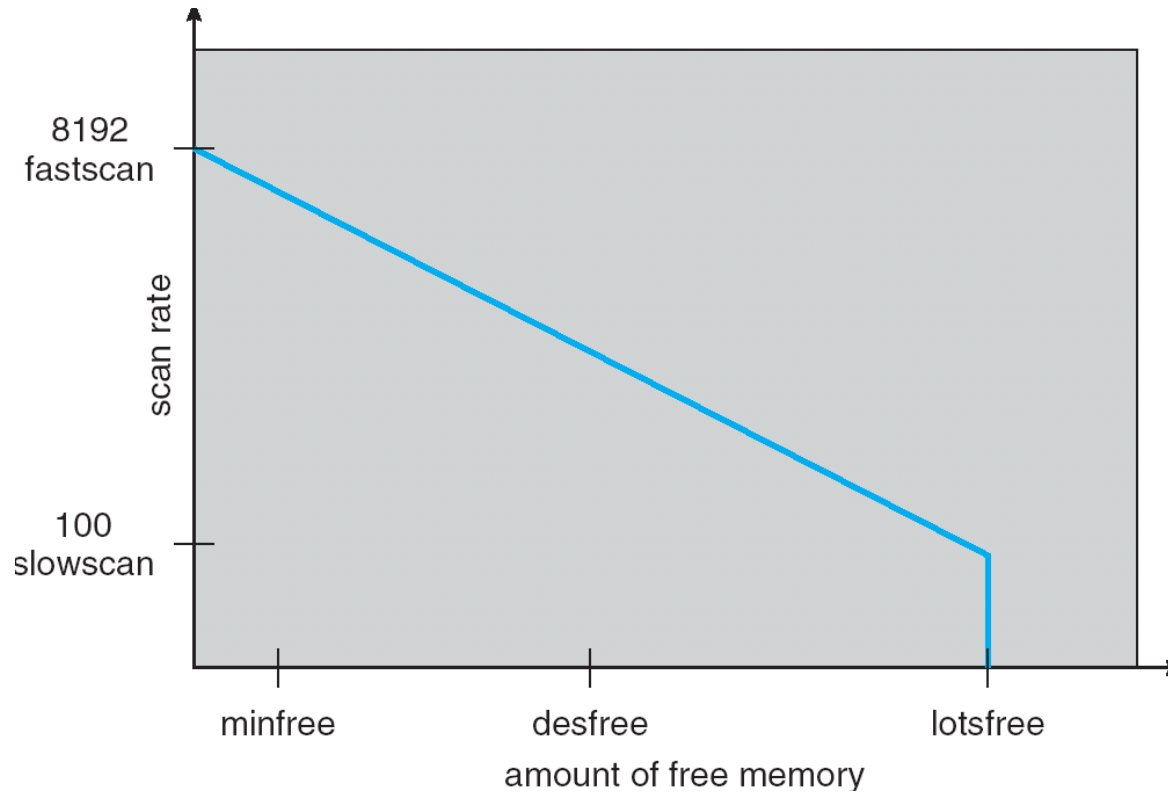
- Maintains a list of free pages to assign faulting processes
- *Lotsfree* – threshold parameter (amount of free memory) to begin paging
- *Desfree* – threshold parameter to increasing paging
- *Minfree* – threshold parameter to being swapping
- Paging is performed by *pageout* process
- Pageout scans pages using modified clock algorithm
- *Scanrate* is the rate at which pages are scanned. This ranges from *slowscan* to *fastscan*
- Pageout is called more frequently depending upon the amount of free memory available







# Solaris 2 Page Scanner



# End of Chapter 8

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